Docket No.: 42P16292

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ADRIAN P. STEPHENS, ET AL.

Application No.:

Filed: November 20, 2003

For: TRAINED DATA TRANSMISSION FOR

COMMUNICATION SYSTEMS

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Utility Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLAN, TAYLOR & ZAFMAN LLP

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Date: November 20, 2003

C mplete if Known Substitute for form 1449A/PTO Application Number INFORMATION DISCLOSURE November 20, 2003 Filing Date First Named Inventor STATEMENT BY APPLICANT Adrian P. Stephens Art Unit (use as many sheets as necessary) **Examiner Name** 2 Attorney Docket Number **Sheet** of 1 42P16292

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US-4,495,619	01-22-1985	Acampora		
	2	US-4,630,126	12-16-1986	Kaku et al.		
	3	US-4,797,898	01-10-1989	Martinez		
	4	US-5,007,047	04-09-1991	Sridhar et al.		
	5	US-5,577,087	11-19-1996	Furuya		
	6	US-5,828,695	10-27-1998	Webb		
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	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	
Initials*	No.	Country Code³ - Number⁴ - Kind Code†f known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). ³See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	7	RAINER GRÜNHEID ET AL., "Adaptive Modulation for the HIPERLAN/2 Air Interface," 5th International OFDM-Workshop 2000, pp. 4-1 - 4-4, Hamburg, Germany.		
	8	DR. GÜNTER KLEINDL, "Signaling for Adaptive Modulation," IEEE 802.11-03/283r0, May 2003, pp. 1-12.		
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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